



Ms. Katherine Han, CFA, FRM

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嘉实基金 ESG 研究部主管

Katherine Han leads the ESG research team at Harvest and spearheads the group's efforts to systematically integrate ESG risks & opportunities in investment processes. Her responsibilities include establishing Harvest's proprietary ESG research framework and database, conducting ESG factor and strategies analysis, issuing internal ESG research reports and working with investment teams in Beijing and Hong Kong to integrate material ESG factors into investment decision making. Prior to joining Harvest, Katherine was a senior ESG research analyst at MSCI ESG Research for eight years. She led the ESG research for the global technology sector at MSCI and supervised the research quality and methodology for key Asian markets as a member of the APAC ESG methodology committee. Prior to MSCI ESG research, she also worked as governance analyst for ISS and multi-asset class analyst at Barra.

Katherine Han is a CFA charter holder and certified FRM holder. She holds a Master's degree in Finance from Renmin University of China and a Bachelor's degree in Financial Engineering from Beihang University.

韩晓燕女士于 2018 年初加入嘉实基金, 主管嘉实 ESG 研究部工作, 构建嘉实 ESG 研究框架和评级体系, 进行 ESG 因子和策略研究, 撰写内部 ESG 投资研究报告, 并负责带领 ESG 研究团队与北京和香港的投研团队密切合作, 将 ESG 因素系统性纳入到嘉实基金投研决策流程中。加入嘉实前的八年时间里, 韩女士在 MSCI 担任资深 ESG 研究员, 负责领导全球科技行业的 ESG 评级和方法论, 并作为亚太区 ESG 评级方法委员会成员, 负责监督和维护亚太市场的 ESG 评级方法论框架和研究报告质量。此前, 她还在 MSCI 的机构投资者股东服务部门 ISS 担任公司治理研究员, 以及在多资产类别部门担任指数数据分析师。

韩晓燕女士是 CFA 和 FRM 持证人, 拥有中国人民大学金融学硕士学位和北京航空航天大学金融工程学士学位。